



## First-principles studies on band structure and mechanical properties of BiFeO<sub>3</sub> ceramics under high pressure

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### Abstract

Mechanical properties and band structure of rhombohedral BiFeO<sub>3</sub> nanostructures were studied using density functional theory for different pressures in the range from 0 to 50 GPa. The elastic constant of BiFeO<sub>3</sub> nanoceramics was determined and different moduli were calculated for various applied pressures. The bulk (B) and shear (G) modulus show an increasing trend on applied high pressure. The findings of the present work also confirm that the hardness of BiFeO<sub>3</sub> increases with the applied pressure. The ductility of BiFeO<sub>3</sub> nanostructure increases upon increasing the pressure, which is confirmed from Poisson's ratio and B/G ratio. The band structure studies were also carried out under high pressure and showed that the band gap decreases upon increase in the applied pressure.

**Keywords:** BiFeO<sub>3</sub>, nanostructure, first-principles studies, band gap, ductility, Poisson's ratio, elastic constant

### I. Introduction

Nowadays, research community focuses on multiferroics, a class of materials with multifunctional physical properties. Moreover, materials in the class of single phase multiferroics (such as BiFeO<sub>3</sub>) display both ferroelectric and ferromagnetic characteristics in the same phase, which enables them promising applications. Thus, they can be used in spintronic devices, where ferroelectricity can be controlled using a magnetic field or magnetism can be manipulated by applied electric field. Among multiferroics, bismuth ferrite is of special interest, since it exhibits attractive magnetoelectric properties at room temperature and is ferroelectric below Curie temperature,  $T_c = 820\text{--}850\text{ }^\circ\text{C}$  and antiferromagnetic below Neel temperature,  $T_N = 370\text{--}380\text{ }^\circ\text{C}$  with G-type antiferromagnetic ordering [1,2]. Besides, BiFeO<sub>3</sub> materials show modified properties with the substitution of Bi<sup>3+</sup> and Fe<sup>3+</sup> with La<sup>3+</sup> and Mn<sup>3+</sup>, respectively [3]. Dhanalakshmi *et al.* [4] have studied the influence of Mn doping on structure and dielectric properties of BiFeO<sub>3</sub> nanoceramics. Neaton *et al.* [5] studied the polarization in BiFeO<sub>3</sub> using local spin density

and estimated the band gap as 1.9 eV. Moreover, from the experimental reports it is known that the band gap of BiFeO<sub>3</sub> varies between 1.9–2.8 eV at ambient temperature [6–8]. Antonov *et al.* [9] used first-principles study to investigate the effect of La<sup>3+</sup> and Mn<sup>3+</sup> substitution on structure and properties of multiferroic BiFeO<sub>3</sub>. Hussain *et al.* [10] reported about chemical pressure red shift in energy band gap in Sr doped BiFeO<sub>3</sub>. Dai *et al.* [11] investigated the stoichiometric (0001) polar surface multiferroic BiFeO<sub>3</sub> nanostructures and reported that spontaneous polarization and weak ferromagnetism showed enhanced character due to the relaxation and rehydration of surface atoms. Xue *et al.* [12] studied microstructure, ferroelectric, magnetic and optical properties on Nd doped BiFeO<sub>3</sub> thin films, whereas Durga Rao *et al.* [13] studied the structural, magnetic and electrical properties of Ho substituted BiFeO<sub>3</sub> polycrystalline compounds. From the previous reports it is evident that by doping or by imposing pressure on BiFeO<sub>3</sub>, the structural and electronic properties can be changed. The density functional theory is an efficient method to investigate the structural stability, electronic and mechanical properties of BiFeO<sub>3</sub> nanostructures upon applying pressure. However, from the previously reported literature it is inferred that there are only few reports based on studying the structural and electronic properties of

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$\text{BiFeO}_3$  nanostructures on various applied pressure [14]. This motivated us to carry out the present work, in which mechanical and electronic properties of  $\text{BiFeO}_3$  are studied under pressure and the results are reported.

## II. Computational details

The calculation in the present work was carried out with the generalized gradient approximation (GGA) in combination with Perdew-Burke-Ernzerhoff (PBE) scheme [15–17]. The elastic constants and mechanical properties of  $\text{BiFeO}_3$  were obtained based on the density functional theory (DFT) method. The SIESTA code was used in the present work for the optimization of  $\text{BiFeO}_3$  nanostructures [18]. The generalized gradient approximation (GGA) of Perdew-Burke-Ernzerhoff (PBE) scheme was used to estimate the exchange-correlation energy of  $\text{BiFeO}_3$  nanostructures. The plane-wave basis set with the energy cut-off of 400 eV was used during the calculation of  $\text{BiFeO}_3$  nanostructures. A vacuum slab of 15 Å was selected during calculation. The energy integration in the first irreducible Brillouin zone was selected as  $10 \times 10 \times 8$  Monkhorst-Pack k-point meshes [19]. The self-consistent convergence of the total energy was obtained in the order of  $10^{-6}$  eV/atom. The wave function of bismuth, iron and oxygen atoms were expanded in terms of double zeta polarization (DZP) [20,21] basis set, which mainly depends on the numerical orbitals. The electro-static interaction between the valence electrons and ionic core was represented in terms of ultra-soft pseudo-potentials.

## III. Results and discussion

### 3.1. Structure of $\text{BiFeO}_3$ ceramics

The structure of  $\text{BiFeO}_3$  is a highly distorted rhombohedral perovskite with space group of  $R3c$  [22]. When compared to cubic  $Pm\bar{3}m$  structure the rhombohedral structure is observed with an anti-phase tilt of the nearest  $\text{FeO}_6$  octahedra and a displacement of the  $\text{Bi}^{3+}$  and  $\text{Fe}^{3+}$  cations from their centro-symmetric positions along (111) plane. Moreover, the theoretical first-principles calculations have found a single pressure-induced phase transition from the rhombohedral  $R3c$  structure to an orthorhombic  $Pnma$  structure at 13 GPa [23]. Finally, the theoretical [24] and experimental studies [25,26] show the occurrence of electric and magnetic phase transitions above 50 GPa in  $\text{BiFeO}_3$ , but no phase transition below 50 GPa.

In the present work, the elastic properties of G-type rhombohedral antiferromagnetic (AFM) structure with space group  $R3c$  was used to study the influence of pressure acting on  $\text{BiFeO}_3$  nanostructures. The generalized gradient approximation (GGA) was used to calculate elastic constants rather than local density approximation (LDA), since the structural properties can be calculated more efficiently by GGA than LDA, which is one of the crucial importance in calculating the elastic constants.

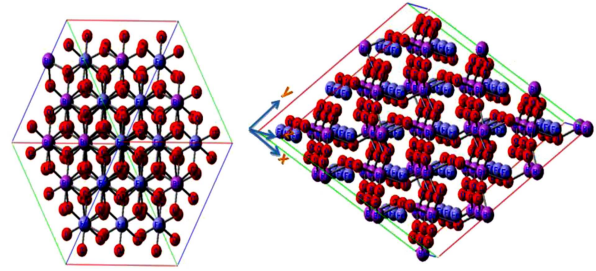


Figure 1. Schematic diagram of  $\text{BiFeO}_3$  nanostructures with periodic boundary condition

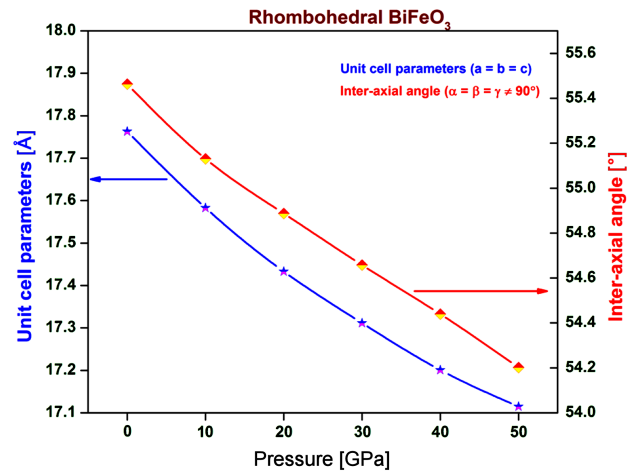


Figure 2. Variation in unit cell parameters and inter-axial angle for various applied pressures

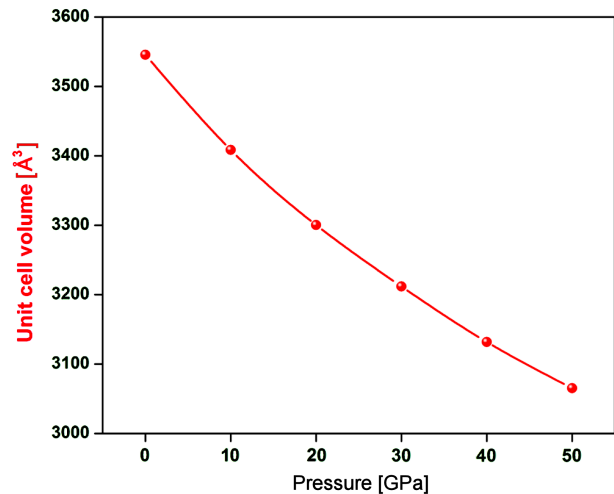


Figure 3. Variation of unit cell volume for various pressures

Figure 1 represents the schematic diagram of  $\text{BiFeO}_3$  nanostructures with periodic boundary condition.

Before studying the pressure-induced electronic and mechanical properties of rhombohedral  $\text{BiFeO}_3$  in detail, we first studied the pressure-dependence of the rhombohedral unit cell parameters and volume, which gives valuable inference. Figures 2 and 3 refer to the pressure-dependence of unit cell parameter and unit cell volume of rhombohedral  $\text{BiFeO}_3$  nanostructure, respec-

tively. It is clearly observed that the volume shrinks and unit cell parameters, such as lattice constant and interaxial angle, decrease owing to increase in the external pressure applied on BiFeO<sub>3</sub> nanostructure from 0 to 50 GPa. The result of the present study is in good agreement with the reported experimental work [27]. Moreover, the geometrical parameters of BiFeO<sub>3</sub> vary with pressure, but the rhombohedral structure is retained even at high pressure (50 GPa) without any phase transition as validated by experiments [25].

### 3.2. Mechanical properties under high pressure

In order to study the mechanical properties of BiFeO<sub>3</sub> nanoceramics, the elastic constant was calculated. It is well known that rhombohedral crystal structure have six independent elastic constants namely, C<sub>11</sub>, C<sub>12</sub>, C<sub>13</sub>, C<sub>14</sub>, C<sub>33</sub> and C<sub>44</sub> [14,28]. The mechanical stability requires the following limitations for the elastic constants:

$$C_{33} > 0 \quad (1)$$

$$C_{44} > 0 \quad (2)$$

$$C_{11} - |C_{12}| > 0 \quad (3)$$

$$(C_{11} + C_{12}) \cdot C_{33} - 2C_{13}^2 > 0 \quad (4)$$

$$(C_{11} - C_{12}) \cdot C_{44} - 2C_{14}^2 > 0 \quad (5)$$

Table 1 presents calculated elastic constants of BiFeO<sub>3</sub> nanostructures under high pressure. Besides, the elastic constants of rhombohedral BiFeO<sub>3</sub> nanostructures are found to satisfy the above condition, which confirms the mechanical stability criteria.

In the next step we determined the Voigt bulk modulus ( $B_V$ ), Reuss bulk modulus ( $B_R$ ), Hill bulk modulus ( $B_H$ ), Voigt shear modulus ( $G_V$ ), Reuss shear modulus ( $G_R$ ), Hill shear modulus ( $G_H$ ), Voigt Young's modulus ( $Y_V$ ), Reuss Young's modulus ( $Y_R$ ) and Hill Young's modulus ( $Y_H$ ), and their values are given in Table 2. It is known that for rhombohedral lattice,  $\nu$ ,  $G_V$ ,  $B_R$  and  $G_R$  [28] are represented with the following equations:

$$B_V = \frac{2C_{11} + C_{33} + 2C_{12} + 4C_{13}}{9} \quad (6)$$

$$5G_V = (2C_{11} + C_{33}) - (C_{12} + 2C_{13}) + 3\left(2C_{44} + \frac{C_{11} - C_{12}}{2}\right) \quad (7)$$

$$1/B_R = (2C_{11} + C_{33}) + 2(C_{12} + 2C_{13}) \quad (8)$$

$$15/G_R = 4(2C_{11} + C_{33}) - 4(C_{12} + 2C_{13}) + 3(2C_{44} + C_{66}) \quad (9)$$

Moreover, the Hill moduli [29] are obtained by the following formulas:

$$G_H = \frac{G_R + G_V}{2} \quad (10)$$

$$B_H = \frac{B_R + B_V}{2} \quad (11)$$

Furthermore, the Young's modulus ( $E$ ) and Poisson's ratio ( $\nu$ ) can be expressed using bulk modulus ( $B$ ) and shear modulus ( $G$ ) values by the following equations:

$$\nu = \frac{3B - 2G}{6B + 2G} \quad (12)$$

$$E = \frac{9B \cdot G}{3B + G} \quad (13)$$

It is evident from the elastic constants that upon increase in the pressure from 0 to 50 GPa, the magnitude of elastic constants increases almost in a linear fashion. Figure 4 illustrates the plot of pressure versus bulk modulus and shear modulus of BiFeO<sub>3</sub> nanostructures. Moreover, for all the cases namely Voigt, Reuss and Hill moduli, a linear increase in bulk and shear modulus upon increase in pressure is observed. It is well known that if bulk modulus of BiFeO<sub>3</sub> is small, then the material is less hard. However, the large value of bulk modulus gives rise to increase in the hardness [30]. Furthermore, BiFeO<sub>3</sub> exhibits an increase in the bulk modulus with the increase in the pressure. From the results, it is inferred that the application of pressure makes BiFeO<sub>3</sub> ceramics harder.

**Table 1. Elastic constants of rhombohedral BiFeO<sub>3</sub> nanoceramics at various pressures**

Pressure [GPa]	C <sub>11</sub>	C <sub>12</sub>	C <sub>13</sub>	C <sub>14</sub>	C <sub>33</sub>	C <sub>44</sub>
0	551.63	283.65	107.01	13.29	530.20	129.66
10	610.01	319.68	114.53	14.99	586.23	139.04
20	759.65	413.6	133.66	20.33	729.58	164.42
30	852.38	469.86	145.53	23.51	814.28	180.36
40	939.61	523.16	164.44	26.55	899.48	197.07
50	1046.7	588.14	188.82	29.36	1002.93	217.06

**Table 2. Bulk ( $B$ ), shear ( $G$ ) and Young's modulus ( $E$ ) of BiFeO<sub>3</sub> nanoceramics under high pressure**

Pressure [GPa]	$B_R$ [GPa]	$B_V$ [GPa]	$B_H$ [GPa]	$G_R$ [GPa]	$G_V$ [GPa]	$G_H$ [GPa]	$E_R$ [GPa]	$E_V$ [GPa]	$E_H$ [GPa]
0	286.15	292.09	289.12	143.53	154.38	148.95	397.42	397.42	502.78
10	315.54	322.63	319.09	155.23	168.48	161.86	433.54	433.54	558.01
20	391.00	401.19	396.1	185.14	204.9	195.02	523.31	523.31	699.13
30	436.66	448.99	442.83	204.07	227.6	215.84	580.71	580.71	782.24
40	484.51	498.09	491.3	222.54	248.92	235.73	633.68	633.68	862.51
50	543.53	558.66	551.1	245.22	274.72	259.97	699.67	699.67	959.31

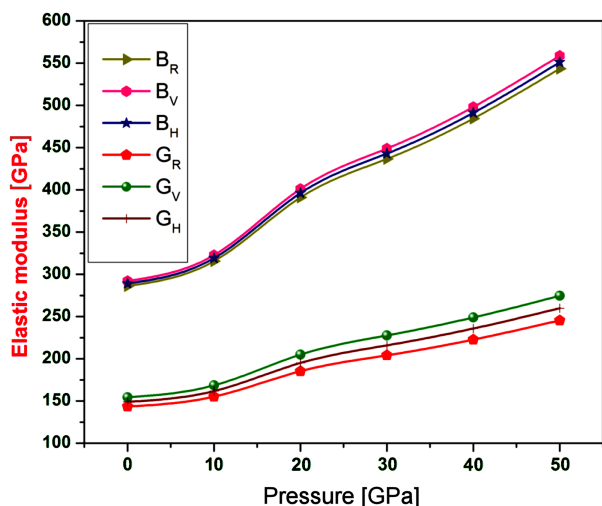


Figure 4. Plot of pressure versus bulk modulus and shear modulus

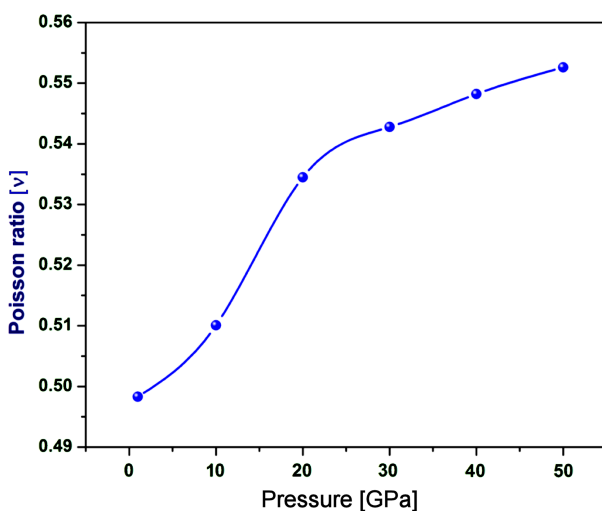


Figure 5. Poisson's ratio versus pressure of BiFeO<sub>3</sub> nanostructures

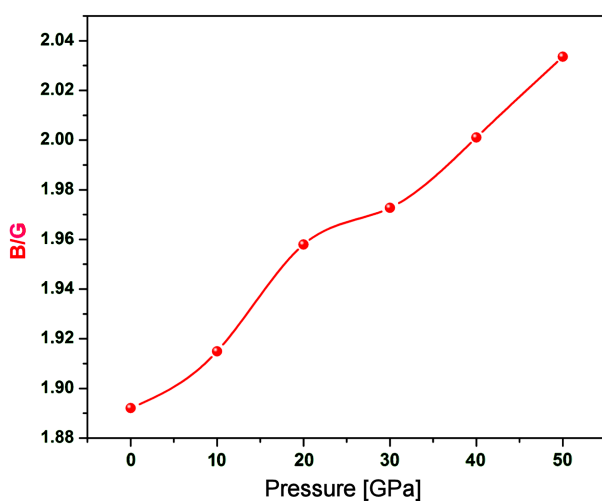


Figure 6. Pressure versus  $B/G$  ratio plot of BiFeO<sub>3</sub> nanostructures

However, the hardness of BiFeO<sub>3</sub> also depends on the shear modulus ( $G$ ) of the nanomaterial. Moreover, the small value of  $G$  indicates that the compound is brittle in nature. Observing the results of shear modulus only for 0 GPa, the shear modulus is found to be low, whereas for high pressure applied to BiFeO<sub>3</sub> it shows increasing trend in the value of  $G$  [31]. Thus, it is clearly evident that the applied high pressure makes BiFeO<sub>3</sub> more ductile in nature. It is well known that the high degree of ductility occurs due to the metallic bonding nature observed in metals. Moreover, when the applied pressure on BiFeO<sub>3</sub> increases, the valence electrons are delocalized across the atoms in BiFeO<sub>3</sub> nanostructure. The delocalization of electrons facilitates the electrons to move easily along BiFeO<sub>3</sub> nanostructures, resulting in the ductile properties. Thus, it is observed that in BiFeO<sub>3</sub> ceramics, the applied pressure makes the material harder with the enhancement of the ductility. Furthermore, the other important parameter for studying the resistance towards uniaxial tension is observed from Young's modulus ( $E$ ). The large value of  $E$  indicates the stronger tensile strength [32]. The Young's modulus of BiFeO<sub>3</sub> shows an increasing trend upon increase in the pressure up to 50 GPa. The results of  $E$  show that BiFeO<sub>3</sub> ceramics withstands the load against elongation upon increase in pressure. It is evident from the results of  $B$ ,  $G$  and  $E$  values of BiFeO<sub>3</sub> ceramics that the increase in the pressure tends to increase the ductility of the material.

Poisson's ratio ( $\nu$ ) plays an important role in mechanical engineering design. It illustrates the negative ratio of transverse and longitudinal strains. A high value of Poisson's ratio, greater than 0.26, generally represents good ductility. In contrast a low value infers the brittle nature [33]. Figure 5 depicts the plot of Poisson's ratio versus pressure. Even at 0 GPa, the Poisson's ratio is found to be 0.496 for BiFeO<sub>3</sub> nanostructure. In addition, with applying pressure to BiFeO<sub>3</sub> nanostructure, the Poisson's ratio reaches the value of 0.554 at 50 GPa. Thus, it is confirmed that applied high pressure makes BiFeO<sub>3</sub> nanostructure to become more ductile, which is consistent with the obtained results for bulk and shear moduli. The reason behind the improved ductility of BiFeO<sub>3</sub> lies in the electrons being delocalized similar to the ductile property of metal.

Figure 6 represents the plot of pressure versus  $B/G$  ratio. The toughness of the material is analysed by the degree of plasticity/ductility of the nanomaterial. Furthermore, the ductile/brittle behaviour of the material can be ascertained by Pugh's ratio [34]. If the value of  $B/G$  ratio of compound is higher than 1.75, the material is said to be ductile [35,36]. From Fig. 6, it is clearly revealed that even at 0 GPa, BiFeO<sub>3</sub> nanostructure possesses a  $B/G$  ratio of 1.89, which confirms that BiFeO<sub>3</sub> exhibits ductile nature. Upon increasing the pressure, the  $B/G$  ratio finally reaches the value of 2.03 for the pressure of 50 GPa.  $B/G$  ratio plot shows that under high pressure BiFeO<sub>3</sub> ceramics becomes more ductile. The

present work should be compared with the previously reported work of Shang *et al.* [14]. They studied the elastic properties of rhombohedral BiFeO<sub>3</sub> using quantum mechanical calculations. In their work elastic constants, bulk and shear moduli have almost same values, which further strengthens our results.

Figure 7 depicts universal anisotropy ( $A^U$ ) for the applied pressure for BiFeO<sub>3</sub> nanostructure. It is well known that for isotropic materials  $A^U = 0$ . Moreover, a large value of  $A^U$  specifies larger material anisotropy. From the results of  $A^U$ , it is observed that for the pressure range from 0 to 50 GPa, the value of  $A^U$  varies from 0.4 to 0.625.

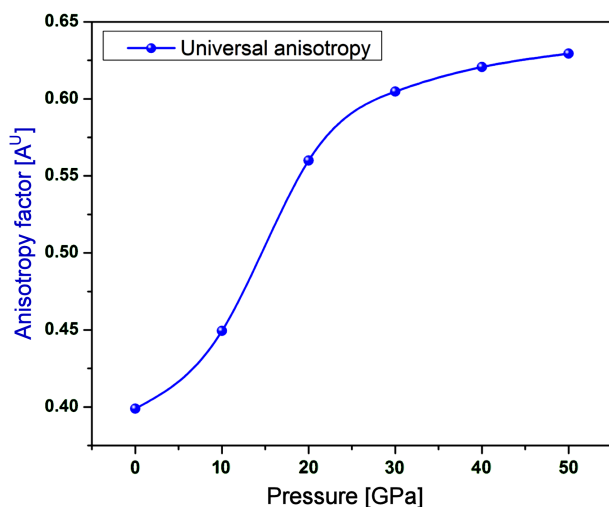


Figure 7. Pressure versus universal anisotropy of BiFeO<sub>3</sub> nanoceramics

The result shows that when the applied pressure increases, the anisotropy of BiFeO<sub>3</sub> nanostructure increases. The propagation of microcracks and lattice distortion arises in the material due to the elastic anisotropy [32]. In the present work, the applied high pressure turns BiFeO<sub>3</sub> nanoceramics to deviate from its lattice, which facilitates the ductile property of BiFeO<sub>3</sub> nanomaterial under high pressure.

### 3.3. Band structure studies under high pressure

The material properties of BiFeO<sub>3</sub> nanostructures can be invoked by analysing the band structure of the material [37–39]. The band gap of the material can be studied across the gap along the gamma point ( $\Gamma$ ). Furthermore, if the channels cross the Fermi energy level ( $E_L$ ), it refers the metallic nature of the material [40,41]. However, in the present study for 0 GPa pressure (Fig. 8), the band gap of BiFeO<sub>3</sub> nanostructure is estimated to be around 1.92 eV. Moreover, it is well known that since DFT method is nearer to ground state, the exchange-correlation function is taken into account for outermost electrons, which in turn underestimates the band gap.

However, the present study is carried out for BiFeO<sub>3</sub> nanoceramics for different pressures from 0 to 50 GPa in which the band gap under pressure can be relatively compared, which gives better results. On applying the

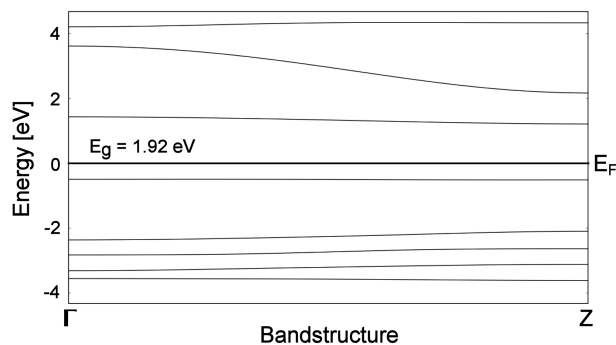


Figure 8. Band structure of BiFeO<sub>3</sub> nanostructure at 0 GPa

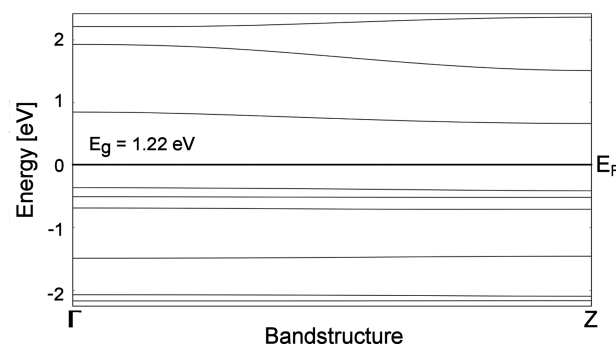


Figure 9. Band structure of BiFeO<sub>3</sub> nanostructure at 10 GPa

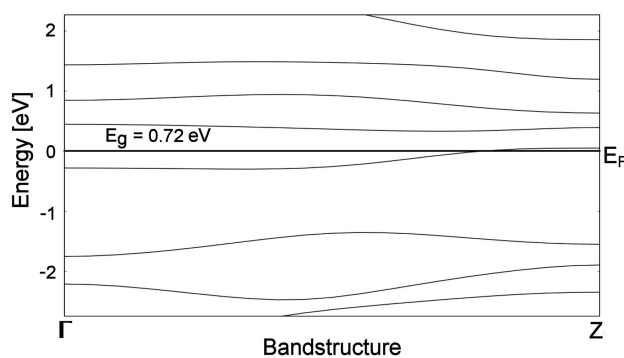


Figure 10. Band structure of BiFeO<sub>3</sub> nanostructure at 50 GPa

pressure of 10 GPa as shown in Fig. 9, the band gap decreases due to the applied pressure.

The decrease in the band gap is governed by the fact that the applied pressure results in lattice distortion in BiFeO<sub>3</sub> nanostructures, which in turn decreases the band gap. For the pressure of 10 GPa (Fig. 9), the band gap at  $\Gamma$  point decreases to 1.22 eV. In addition, on applying the pressure of 50 GPa to BiFeO<sub>3</sub> nanostructures, the band gap further decreases to 0.72 eV (Fig. 10). Figure 11 illustrates the comparative variation of band structure upon applied pressure of BiFeO<sub>3</sub> nanostructure for a pressure of 0, 10 and 50 GPa. The band structure studies show that upon increasing the pressure applied to BiFeO<sub>3</sub> nanostructure, the band gap decreases due to the lattice distortion and it turns BiFeO<sub>3</sub> nanostructure to near metallic in nature.

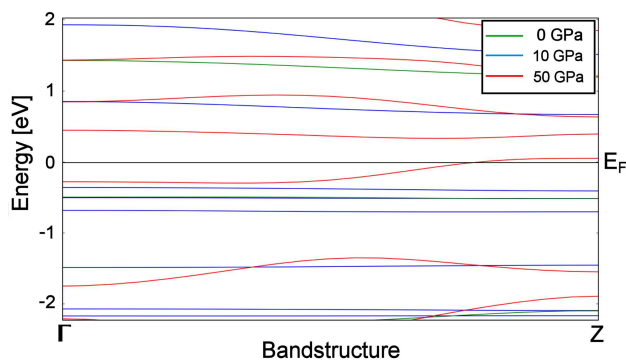


Figure 11. Comparative diagram of BiFeO<sub>3</sub> nanoceramics band structure at 0, 10 and 50 GPa

#### IV. Conclusions

The mechanical properties and band structure of BiFeO<sub>3</sub> nanostructures were studied using DFT method employing GGA/PBE functional. The elastic constants for rhombohedral BiFeO<sub>3</sub> nanostructures were calculated and different modulus such as bulk, shear and Young's modulus were determined. The hardness of the material increases due to the applied high pressure. Furthermore, on increasing the pressure the ductility of BiFeO<sub>3</sub> nanoceramics increases, which is confirmed from the results of Poisson's ratio and  $B/G$  ratio. Moreover, the universal anisotropy increases upon increasing the pressure. This shows that lattice distortion takes place due to the applied high pressure. The band structure also shows that upon increase in pressure, the band gap decreases. The findings of the present work confirm that BiFeO<sub>3</sub> nanoceramics become more ductile and the band structure also decreases under high pressure.

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